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**FROMMER LAWRENCE & HAUG LLP**745 Fifth Avenue  
New York, New York 10151  
Telephone: (212) 588-0800  
FACSIMILE: (212) 588-0500**FACSIMILE COVER LETTER**

**To:** EXAMINER OPSASNICK  
**Firm:** PTO  
**Art Unit:** 2655  
**Fax No.** (703) 872-9306  
**From:** William S. Frommer  
**Date:** July 28, 2004  
**Re:** USSN 09/748,471  
 OUR REF: 450100-02912  
**No. of Pages:** 9  
 (including cover page)

If you do not receive all pages or are unable to read the transmission, please call and ask for Carol Ann Rossitto (ext. 2023).

Dear Examiner Opsasnick:

As discussed on the telephone on July 28, 2004, attached is the Amendment and stamped, self-addressed post card, showing that the Amendment was mailed to the USPTO on February 13, 2004.

Thank you,

*Carol Ann Rossitto*  
 Carol Ann Rossitto

CONFIDENTIALITY NOTICE

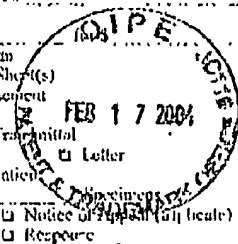
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Serial No. 09/748,471 File No. 450100-02712 By GK  
 Title in the Matter of the Application of H. CAO  
 Applicant(s) H. CAO  
 The following due 2/24/04 in the U.S. Patent Office, was received in the Patent Office

<input type="checkbox"/> Affidavit	<input type="checkbox"/> Declaration	<input type="checkbox"/> Express Mail Mailing Label #
<input checked="" type="checkbox"/> Amendment	<input type="checkbox"/> (separate sheet)	<input type="checkbox"/> Check No.
<input type="checkbox"/> Preliminary Amendment	<input type="checkbox"/> Deposit Account Order Form	<input type="checkbox"/> Drawing Sheet(s)
<input type="checkbox"/> Amendment After Final Rejection	<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> PTO Form 1412
<input type="checkbox"/> Request for Extension of Time	<input type="checkbox"/> Issue Fee/Publication Fee Transmittal	<input type="checkbox"/> Appeal Brief (triglyphs)
<input type="checkbox"/> Provisional Patent Application	<input type="checkbox"/> Application for TM Registration	<input type="checkbox"/> Letter
<input type="checkbox"/> Application for Patent, including	<input type="checkbox"/> Including	<input type="checkbox"/> Status Request
<input type="checkbox"/> Paper Specification	<input type="checkbox"/> Claims	<input type="checkbox"/> Abstract
<input type="checkbox"/> Declaration	<input type="checkbox"/> Oath	<input type="checkbox"/> Power
<input type="checkbox"/> Request for Filing Continuation or Divisional	<input type="checkbox"/> Application sheets	<input type="checkbox"/> PTO Request
<input type="checkbox"/> PTO Request	<input type="checkbox"/> sheets, including	<input type="checkbox"/> Transmittal Letter
<input type="checkbox"/> Transmittal Letter	<input type="checkbox"/> Request for Continued Examination (RCE)	<input type="checkbox"/> Assignment
<input type="checkbox"/> Assignment	<input type="checkbox"/> Recrudation Cover Sheet	<input checked="" type="checkbox"/> Patent Incent Fee Transmittal
<input type="checkbox"/> Patent Incent Fee Transmittal	<input type="checkbox"/> Claim of Priority	<input type="checkbox"/> Request for Approval of Drawings
<input type="checkbox"/> Claim of Priority	<input type="checkbox"/> Request for Approval of Drawings	<input type="checkbox"/> Draft with Sequence Listing
<input type="checkbox"/> Request for Approval of Drawings		
<input type="checkbox"/> Draft with Sequence Listing		



Mailed 1<sup>st</sup> class; 2-13-04

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Serial No 09/748,471 File No 450100-02912 By GK

The Number of the Application of H. P. O.

The Following the 2/24/04 in the U.S. Patent Office, was received in the Patent Office

☐ Affidavit ☐ Declaration ☐ Separate sheet ☐ Express Mail Mailing List: = \_\_\_\_\_

☒ Amendment ☐ Check No. \_\_\_\_\_ for \$ \_\_\_\_\_

☐ Preliminary Amendment ☐ Deposit Account Order Form \_\_\_\_\_ (Sheet(s))

☐ Amendment After Final Rejection ☐ Drawing \_\_\_\_\_ (Sheet(s))

☐ Request for Extension of Time ☐ Information Disclosure Statement

☐ Provisional Patent Application ☐ PTO Form 14-49

☐ Application for Patent, including ☐ Issue Fee Publication Fee Transmittal

☐ Pages Specification ☐ Claims ☐ Abstract ☐ Appeal Brief (applicable) ☐ Letter

☐ Declaration ☐ Oath ☐ Power ☐ Application for T4 Registration

☐ Request for Filing Certification or Divisional ☐ Application \_\_\_\_\_ including \_\_\_\_\_

☐ PCT Request \_\_\_\_\_ sheets, including ☐ Status Request ☐ Notice of Appeal (applicable)

☐ Transmittal Letter ☐ Feeletter ☐ Response

☐ Request for Continued Exam (RCE) ☐ Priority Document

☐ Assignment ☐ Resolving Cover Sheet ☐ Statement of Life

☒ Amendment for Transmittal ☐ Power of Attorney ☐ Power of Attorney's Statement

☐ Oath of Invention ☐ Search Report ☐ Response to Notice to File Missing Parts

☐ Request for Appointment of Examiner ☐ Response to Notice to File Missing Parts

Printed 1 = Class: 2-13-04

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PATENT  
450100-0010512

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Hongchang Pao  
Serial No. : 09/748,471  
Filed : December 26, 2000  
For : MODEL ADAPTIVE APPARATUS AND MODEL ADAPTIVE METHOD, RECORDING MEDIUM, AND PATTERN RECOGNITION APPARATUS  
Examiner : Michael N. Opsasnick  
Art Unit : 2655

745 Fifth Avenue  
New York, NY 10151  
Tel: 212-588-0800

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required.  
☐ The fee has been calculated as shown below.  
☐ This is an application of a small entity under 37 CFR 1.9(f), and the amounts shown in parentheses apply.

Claims as Amended

(1)	(2) Claims remaining after amendment	(3)	(4) Highest number previously paid for	(5) Present extra	(6) Rate	(7) Additional Fee
Total claims	17	Minus	** = 20	* 0 x	\$18 (9)	\$ 0
Independent claims	4	Minus	*** = 4	* 0 x	\$86 (43)	\$ 0
Total additional fee for this amendment						\$ 0

- \* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.  
\*\* If the highest number of total claims previously paid for is less than 20, write "20" in this space.  
\*\*\* If the highest number of independent claims previously paid for is less than 3, write "3" in this space.

- ☐ This application contains a multiple dependent claim. The required fee of \$290 (145) has been previously paid ☐, or is paid herewith ☐.
- ☐ This response is being filed within the \_\_\_\_\_ month following the expiration of the term originally set therefor. This is a petition to request a \_\_\_\_\_ month extension of time. A check covering the cost of the petition is enclosed.
- ☐ A check in the amount of \$ \_\_\_\_\_ is attached, which covers the cost of ☐ additional claims \_\_\_\_\_ petition for extension of time.
- ☐ Charge \$ \_\_\_\_\_ to Deposit Account No. 50 0320.
- ☒ Please charge any additional fees incurred by reason of this response or credit any overpayment to Deposit Account No. 50-0320.

I hereby certify that this copy, pending is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 13, 2004.

Gordon M. Kessler, Reg. No. 38,511

Name of Applicant, Invented, or Registered Representative

*Gordon M. Kessler*  
Signature  
February 13, 2004  
Date of Signature

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicant(s)

By: *Gordon M. Kessler*  
Gordon M. Kessler  
Reg. No. 38,511  
Tel: 212-588-0800

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